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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/689,371 Filing Date **TRANSMITTAL** 10/20/2003 First Named Inventor **FORM** Pengfei Ma ET AL. (to be used for all correspondence after initial filing) Art Unit **Examiner Name** Attorney Docket Number Total Number of Pages in This Submission 02-560 **ENCLOSURES** (Check all that apply) **Assignment Papers** After Allowance communication Fee Transmittal Form (for an Application) to Technology Center (TC) Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to TC Amendment/Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosures(s) (please Extension of Time Request Change of Correspondence Address Identify below): **Express Abandonment Request** Terminal Disclaimer Х Request for Refund Information Disclosure Statement Certified Copy of Priority CD, Number of CD(s) ____ **Documents** Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

CERTIFICATE OF MAILING							
•	ndence is being deposited with the United States Postal Service as t Box 1450, Alexandria, VA 22313-1450 on this date:	first class mail in an envelope address to: 12/03/2004					
Typed or printed name	D. James Barnes						
Signature	D. 9-086	Date 12/03/2004					

D. James Barnes, Registration No. 48,869

December 3, 2004

Firm

Date

Individual name

Signature

OF

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
PENGFEI MA, ET AL.)
Application Number: 10/689,371)
Filed: October 20, 2003)
Title: FLOW CONTROL APPARATUS FOR CONTROLLING THE SWING SPEED OF A BOOM ASSEMBLY))))
Attorney Docket No.: 02-560)

Peoria, Illinois 61629-6490 December 3, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A copy of a foreign search report is included to explain the relevance of the non-English citation(s) cited therein. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

The undersigned certifies that each item of information listed on the attached PTO 1449 (SB08) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this statement.

Respectfully submitted,

D. James Barnes

Registration No. 48,869

Caterpillar Inc.

Telephone: (309) 494-1815

DJB:slm Enc.

Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of 1 1

Complete if Known				
Application Number	10/689,371			
Filing Date	10/20/2003			
First Named Inventor	Pengfei Ma ET AL.			
Art Unit				
Examiner Name				
Attorney Docket Number	02-560			

			U.S. PATEI	NT DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (If known)}	Publication Date . MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,777,773	12-11-1973	Tolbert	
•	,	US- 4,066,006	1-3-1978	Heiser	
		US- 4,688,600	8-25-1987	Kreth et al.	
		US- 4,736,770	4-12-1988	Rousset et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ^{5(if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		EP 0608415	8-3-1994	Kabushiki Kaisha Kom		
		JP 2125034	5-15-1990	Hitachi Construction		
						ļ <u>.</u>
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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